



ABLV

BANKING / INVESTMENTS \ ADVISORY

Banking and Investment

ABLV Bank Luxembourg, S.A. (in judicial liquidation)¹ Rates and Charges

Account	
Account maintenance (per month) ²	free of charge
Change of signature specimen card	50 EUR
Account closing	200 EUR
Issue and dispatch via Internetbank of account report, regular account statement	free of charge
Issue upon request of additional account reports, account statements / investment reports (per document)	
for current calendar year	15 EUR
for previous years	30 EUR
Issue upon request of additional documents (e.g. SWIFT and other payment documents) related to account statements / investment reports (per document)	3 EUR
Issue upon request of standard reference letter	150 EUR
Issue upon request of non-standard reference letter	information available upon request, min. 300 EUR per letter
Dispatch of documents ³ by regular mail ⁴	actual expenses + 20 EUR
Additional services upon request (per hour)	200 EUR (plus VAT)
Authorization Tools	
Change or issue of additional Digipass	100 EUR
Digipass unblocking	25 EUR
Dispatch of Digipass ⁴ (by regular mail)	actual expenses + 20 EUR
Payments ⁵	
Payments between client's accounts with the Bank	free of charge
Outgoing payments of the creditor's claim	actual expenses, min. 30 EUR
Outgoing payments in EUR ⁶ for individuals and legal entities	1%(min. 50 EUR max. 1000 EUR) applied for funds generated after 02.07.2019

¹ Hereafter 'the Bank'

² The decision to stop charging account maintenance fees was made on 2 July 2019. The last account maintenance fee was charged on 15 July 2019. The Bank stopped to provide portfolio management services and stopped to accumulate the interest on overdrawn / negative client account balances. Negative balance on the account cannot be covered by guaranteed amount of FGDL.

³ For instance, account reports, account statements, investment reports, additional documents related to account reports / account statements / investment reports, letters, etc.

⁴ The respective amounts apply to each individual dispatch.

⁵ If there are no sufficient funds for execution of the order, the order is cancelled.

⁶ The commission payment type SHA will be granted for all outgoing payments in EUR. Client pays the Bank's commission. Other banks involved in payment execution have the right to withhold commission from the payment amount.

Financial Instruments' Custody Services

Financial Instruments' Custody Fee¹

Straight bonds and Subordinated bonds issued by ABLV Bank, AS (in liquidation)	free of charge
Other financial instruments including investment certificates of the open-end investment funds issued by ABLV Asset Management, IPAS	1.00% (p.a.) + VAT ²

Financial Instruments' Transfers

Book transfer	free of charge
Transfer to / from ABLV Bank, AS (in liquidation)	actual expenses
Incoming transfer	actual expenses
Outgoing transfer of Straight bonds and Subordinated bonds issued by ABLV Bank, AS (in liquidation) and investment certificates of the open-end investment funds issued by ABLV Asset Management, IPAS	0.2% of the market value ³ min. 50 max 1500 EUR
Outgoing transfer of other financial instruments	0.4% of the market value ³ , min. 250 EUR max 1500 EUR

Brokerage Services

Trading Bonds (including Bond and Money Market ETFs)

Bonds denominated in USD, EUR and RUB (excluding bonds issued by ABLV Bank, AS (in liquidation))	0.35%, min. 50 EUR
Bonds issued by ABLV Bank, AS (in liquidation)	free of charge
Bonds denominated in other currency	information is available upon request

Trading Stocks (including Equity and Commodity ETFs / ETCs)

Russia (MICEX-RTS), USA (NYSE, NASDAQ, AMEX), Canada, main regulated markets in Europe ⁴	0.90%, min. 50 EUR ⁵
Other countries / other markets / OTC	information is available upon request

Trading Investment Funds

Redemption (all funds)	200 EUR per position
Forced sale of other financial instruments	2% of sale price, min. 250 EUR ⁶

¹ The fee is calculated on the basis of the portfolio average market value per period. The EUR equivalent of the total portfolio value is calculated as portfolio average market value per period at the currency buy rate on the relevant day. The fee is withheld monthly in EUR, but another currency may be designated for withholding the fee, following the client's request.

² The VAT rate on custody fee for financial instruments is 14%. EU residents (individuals) are subject to VAT application in Luxembourg. EU residents (legal entities) are not subject to VAT application in Luxembourg, conditioned that they have valid VAT number from their countries and they communicate this information to the Bank. EU residents (legal entities) will be charged the Luxembourg VAT in case its EU VAT number is not communicated to the Bank. Non-EU residents (individuals and legal entities) are not subject to Luxembourg VAT.

³ Market value is determined on the day of submitting an order for transfer. The coupon income accrued is not included in calculation of bonds market value. If market value is not determined, the Bank determines it independently.

⁴ United Kingdom, Germany, France, Netherlands, Denmark, Spain, Finland, Italy, Norway, Portugal, Sweden, Switzerland, Ireland, Lithuania, Austria, Belgium, Luxembourg and Latvia.

⁵ Additionally, the taxes, fees and compulsory payments required by states or intermediary companies involved in trades (stock exchanges, foreign financial market regulators, regulated markets players' associations, societies, etc) are charged.

⁶ Fee is charged in EUR, applying the currency exchange rate set by the ECB as at the previous day. If equivalent in EUR of the total balance of funds is below the set minimum fee, fee charged shall be equal to the account balance